Page 1 of 8 DEPOSITION OF DOUGLAS L. SMITH

JUNE 1, 2006

JOHN GILBERT vs. APC NATCHIQ, INC. CASE NO.: 3:03-CV-00174-RRB

	Page 1
7	IN THE UNITED STATES DISTRICT COURT
2	FOR THE DISTRICT OF ALASKA
3	JOHN GILBERT,
.	
4	Plaintiff,
••	
5	vs.
5)
6	APC NATCHIQ, INC.
)
7	Defendants.) Case No. 3:03-CV-00174-RRB
/)
8	DEPOSITION OF DOUGLAS L. SMITH
9	June 1, 2006
10	APPEARANCES:
11	FOR THE PLAINTIFF: MR. KENNETH L. COVELL
12	Attorney at Law
	712 Eighth Avenue
13	Fairbanks, Alaska 99701
	(907) 452-4377
14	FOR THE DEFENDANTS: MS. PATRICIA L. ZOBEL
15	DeLisio Moran Geraghty &
1	Zobel
16	Attorneys at Law
177	943 West Sixth Avenue
17	Anchorage, Alaska 99501
10	(907) 279-9574
18	ALSO PRESENT: MR. JOHN GILBERT
19	* * * *
20	
21	
22	
23	
24	EXHIBIT <u>D</u>
25	

EDOCUTION OF DOUGLAS L. SA

JOHN GILBERT vs. APC NATCHIQ, INC. CASE NO.: 3:03-CV-00174-RRB

DEPOSITION OF DOUGLAS L. SMITH JUNE 1, 2006

1	Page 2 Pursuant to Notice, the Deposition of DOUGLAS L. SMITH was taken on behalf of the Plaintiff before Cheri Tabor, Notary Public in and for the State of Alaska, and electronic reporter for Metro Court Reporting at the offices of DeLisio Moran Geraghty & Zobel, 943 West Sixth Avenue, Anchorage, Alaska, on the 1st day of June, 2006, commencing at the hour of 11:30 o'clock a.m. **** TABLE OF CONTENTS Direct Examination by Mr. Covell 4 EXHIBITS PAGE S-1 - Letter, 6/25/97, Nelson to Carr 56 S-2 - Job description, safety supervisor 79 ****	1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25	COURT REPORTER: Okay. Counsel, would you please identify yourselves and who you represent? MR. COVELL: Kenneth Covell for John Gilbert. MS. ZOBEL: Patricia Zobel for APC Natchiq. COURT REPORTER: Sir, would you like to identify yourself? MR. GILBERT: I'm John Gilbert. COURT REPORTER: Thank you. All right. You may proceed. MR. COVELL: All right. DIRECT EXAMINATION BY MR. COVELL: Q Good morning, Mr. Smith. A Good morning. Q Have you ever been deposed before? A A few times. Q Okay. And what was that in regard to? A Just prior case log with injury claims, general liability claims at Veco Corporation. Q Okay. All right. Just briefly then, if you don't understand a question, say so. If you need to take a break, say so. It's informal in a way. It's all being tape recorded. You're under oath. You have your counsel here, you can talk to her if you want to. Okay?
_	Page 3		Page 5

	Page 3
1	PROCEEDINGS
2	(On record)
3	COURT REPORTER: We're on record. My name is
4	Cheri Tabor, and I'm a court reporter for Metro Court
5	Reporting in Anchorage, Alaska. Today's date is June 1, 2006,
6	and the time is approximately 11:30 a.m. We're at the offices
7	of DeLisio Moran Geraghty & Zobel, PC, 943 West Sixth Avenue,
8	Anchorage, Alaska 99501 for the deposition of Doug Smith.
9	This case is in the United States District Court for the
10	District of Alaska, in the matter of Gilbert, versus APC, Case
11	Number 3:03-CV-00174 (RBR).
12	Sir, Mr. Smith, would you please raise your right hand
13	so I could swear you in?
14	(Oath administered)
15	MR. SMITH: I do.
16	DOUGLAS L. SMITH
17	having first been duly sworn under oath, testified as follows:
18	COURT REPORTER: Thank you. Would you please
19	state your full name and spell your last for the record.
20	A Douglas Lee Smith, S-M-I-T-H.
21	COURT REPORTER: May I have a mailing address.
22	A 3900 C Street, Suite 701, Anchorage, Alaska 99503.
23	COURT REPORTER: Thank you. I also need a
24	daytime or a message telephone number.
1 25	А 907-339-6331.

1	A	I understand.
1	A	All right. What's your current position?
2	Q	
3	A	I'm the HSET director for ASRC Entities Services,
4		that's the parent company of operations and
5		maintenance division which was previously known as
. 6		APC.
7	Q	Okay.
7 .8	Α	So there's been some name changes in the corporation,
9.		and I work at the corporate level, which is the parent
10		of that entity.
11	Q	Okay. And back in 2001 through 2003 when Mr. Gilbert
12		worked for APC or Natchiq, you were at Kuparuk, is
13		that
14	Α	That's correct.
15	Q	where you were
16	Α	I was an APC employee at Kuparuk in the position of
17		HSE manager for that department.
18	·Q	Okay. Is my general understanding correct that you
19		move up a notch
20	Α	Yes.
21	Q	or a position in the organizational chart?
22	A	That's how they would have me believe it, so, yes.
23	Q	Okay. All right. I
	_	· -

All right. At a time Mr. Gilbert worked at Kuparuk,

Yeah, that's correct.

24 A

25 Q

Q

Α

Page 7

Page 8

JOHN GILBERT vs. APC NATCHIQ, INC. CASE NO.: 3:03-CV-00174-RRB

DEPOSITION OF DOUGLAS L. SMITH JUNE 1, 2006

		Page 6	
1.		is it correct that the general organizational scheme	1
2		in the way of hierarchy in health and safety would be	2
3		there are safety specialists, safety supervisor, and	3
4		then you, and you title at that time, tell me again,	5
5		was safety	
6	Α	I was hired as a safety manager which was a new	6
7		position for that organization.	7
8	Q	Okay. I see.	8
9	À	That that position did not exist prior to my	9
10		arrival.	10
11	Q	Okay. Prior to your arrival, was there a corporate	11
12	`	safety man in Anchorage?	12
13	Α	There was a safety supervisor on site.	13
14	Q	Okay.	14
15	A	And that was Ron Kirk.	15
16	Q	Okay. And then who would Ron who was Ron's direct	16
17		report I think is how you guys like to put it?	17
18	A	Yeah, at that time Ron was a direct report to Gary	18
19		Buchanan as the safety supervisor in the department.	19
20		And	20
21	Q	And was Gary	21
22	Α	the specialists	22
23	Q	in Anchorage or was Gary	23
24	Α	Gary Gary was Anchorage-based.	24
25		(Telephone ringing)	25

Case 3:03-cv-001745RB

1 ugo o
Okay. Did you ever do an evaluation of the safety
specialist position to determine whether it was exempt
or non-exempt from overtime?
Yes. The in fact, I had been involved in an
evaluation at a previous employer with that position.
And after I arrived at this company, we discussed the
position, exempt versus non-exempt. And one thing
that's important is that one of the things we worked
always worked against or was a bit of an issue is a
lot of positions, can (indiscernible) extra hours in
the specialist field around the country are being paid
in an exempt mode, so by us defining those as non-
exempt was we felt was correct with the
determination of the labor law, but was not exactly
industry standard. So we were always sort of with the
uphill battle to move these two hourly, not within the
company, but just as a general industry position. So
we evaluated the positions based on the the
Department of Labor checklist provided at the time,
and determined that these positions would were most
likely would be better suited to be under hourly
positions. And the guys who were having call-outs in
the evening and such, the nature of the embedded
employees, were being called out, so there was a move
to move them to hourly after I arrived there. But it

		•
1	Q	Okay.
2	-	MS. ZOBEL: Do you need to take a
3	Α	No, I'm just turning it off. Sorry.
4.		MS. ZOBEL: Okay.
5	Α	Gary was based on the Slope. He was the project
6		manager or contract business manager for the Kuparuk
7		contract. He was the operations manager.
8	Q	Okay. And is that different than unit supervisor?
9	Α	Business unit manager, those guys have had a multitude
10		of titles. We refer to them as the contract manager
11		or business unit manager, and they were responsible
12		for the entire operational contract for APC at
13		Kuparuk.
14	Q	So the safety supervisor would answer to Buchanan in
15		the unit manager or similar position?
16	Α	Yes, prior to my arrival, Ron Kirk reported to Gary
17		Buchanan.
18	Q	And then was there a safety guy in Anchorage that was
19	-	higher up the food chain or not?
20	Α	Yes, there was a corporate safety manager. At that
21		time it was Scott Brower.
22	Q	Okay. All right. Let's see, what did you do to
23		prepare for your deposition today, if anything?
24	Α	Came yesterday and just, you know, knowledge of the
25		events since I was present, but that's about it.

		Page 9
1		took some time to change the contract language, put
2		the rates in, and effect the changed for the
3		specialists.
4	Q	Okay. And did that then actually happen on or after
5		April of '03?
6	Α	It happened March 1st of '03 is when we finally got
7		the what we call employee information records, a
8		status change actually into payroll and changed the
9		specialist' pay rates to hourly was March 1 of '03.
10	Q	And when did the guys in the field and we're
11		talking safety
12	Α	Specialists.
13	Q	supervisor here?
14	\mathbf{A}	Safety specialist.
15	Q	Oh, okay. All right. Safety specialist. All right.
16	\mathbf{A}_{i}	And at the same time, we I evaluated personally
17		with Gary Buchanan the position that Ron Kirk had
18		previously held as safety supervisor. And it's
19		important to understand the chain
20		MS. ZOBEL: Let's
21	A	1 0
22		MS. ZOBEL: Let's wait until he asks the
23	-	estion.
24	Α	Yeah.
25	Q	(By Mr. Covell) Okay.

DEPOSITION OF DOUGLAS L. SMITH JUNE 1, 2006

JOHN GILBERT vs. APC NATCHIQ, INC. CASE NO.: 3:03-CV-00174-RRB

		Page 14		
1		exempt or non-exempt classification of the safety	1	
-		supervisor position at APC?	2	
2		No.	3	
3	A	Okay. You were at Kuparuk when the safety supervisor	4	
4	Q	was there and classified as exempt, right?	5	
5		No, it was exempt when when I arrived at the	6	Α
6	A	position, the supervisory position was already being	7	
7		paid at a day rate which was filled by Ron Kirk.	8	
8	_	Well, Mr. Gilbert was a safety supervisor, right?	9	
9	Q	He became Ron Kirk's alternate.	10	
10	Α	Okay. All right. And when he was in that job, he was	11	
11	Q	Okay. All fight. And when he was in that job, he was	12	Q
12		being classified and paid as an exempt employee,	13	
13		right?	14	
14	Α	Yes, he went into the job, and the job was already	15	Α
15		established as an exempt position.	16	Q
16	Q	Okay. And you were his boss then?	17	A
17	Α	That's correct.	18	Q
18	Q	Okay. All right. What different duties did the	19	A
19		safety supervisor have when Mr. Gilbert was in that	20	
20		position versus a safety specialist?	21	Q
21	Α	In my opinion and in my observation, an expectation of		
22		the job was that they were the coordinator of the	22	A
23		specialist, and in that role provided, you know, a	23	
24		degree of oversight and direction to these embedded	24	_
25		employees. And then in my absence I worked a four-	25	Q
1			1	

		Page 16
l		coordinator or the specialist, I mean, what physically
2		would he do that was coordinating them? Would he pick
3		up the phone and call people? Would he call meetings?
4		Would arrange their schedules? I mean, what types of
5		things?
6	Α	Schedule coordination, personnel coming and going,
7		trying to help work out vacation coverage by
8		scheduling other people to work over, providing
9		answers, you know, questions and answers both up the
0		chain of command with the client, with our people who
1		liaison, conduit of information flow.
2	Q	Okay. And when you said answers questions, would that
3		generally be to the client, to Conoco-Phillips or
4		whoever was
15	Α	Both sides, internal
16	Q	And what
17	Α	and external.
18	Q	Internal to?
19	Α	APC.
20	Q	APC upstairs. Was there a lot of questions that came
21		from the specialists to the safety supervisor?
22	Α	I think there was daily questions probably from each
23		of them of some type, and they vary in technical
24		nature. Some very simple and some technical.

		Page 15
1		day on, three-day off schedule, and in my absence from
2		the Slope, they were the step-up for the department
3		and fill the roll of HSE manager in my absence.
4	Q.	Okay. So they were the coordinator of the other
5	` `	specialists. These other specialists generally had
6		I don't know if duty stations is the right word, but
7		generally had a routine set of work that they were
8		going to do, or expect to do, is that right?
9	A	That's correct.
10	Q	Okay. So a guy would have wash bay, or light duty
11		shop, or a pad or something to that effect?
12	Α	That's correct.
13	Q	Okay. Would the safety supervisor do safety
14		specialist work routinely?
15	Α	Not routinely.
16	Q	Okay. What safety specialist work would the safety
17		supervisor do?
18	Α	Probably the same as I would do, and that would be a
19		back-up for the field, if there be excess work,
20		someone off shift, in training, geographically not
21		available, because they're too far out to one site, so
22		we might respond to a scenario on their behalf.
23	Q	Okay.
24	Α	And that would include myself as well.
25	Q	Okay. So when a safety supervisor acted as the

	pad, or would they be more of the nature of, you know,
	how do I run this meter? If you know.
Α	I think that the majority of the questions were more
	technical in nature about how to execute on-the-job.
	We had varying levels of specialists with different
	levels of experience, and the supervisor was an
	experienced more experienced position that had more
	authoritative knowledge, technical knowledge, and was
	oftentimes a reference for the specialist to conduct
	business.
O	Could you give me an example of what that kind of
•	question would be?
	daran and

Okay. Well, if you know, would they be along the

lines that, you know, I'm busy on this pad, can you

come out and do this other test for me on the other

	question would be:
Α	Yeah. I'm on the pad and I don't clearly understand
	how to run this Ludlum meter, you know, can you help
	me out over the phone, or come out and show me? I'm
	running the snapshot, the gas chromatograph, I'm going
	to need some assistance with that. Policy, maybe some
	policy questions. What is our procedure or policy
	regarding a particular subject matter.
	Togarding a partition of
Q	Okay. As to policy and procedure, that was all in a

- 21 22 book which sounded like it was going under a never-23 ending revision. Is that fair to say? 24
- There was a policy and procedure manual being revised, 25

5 (Pages 14 to 17)

Page 17

1

JOHN GILBERT vs. APC NATCHIQ, INC. CASE NO.: 3:03-CV-00174-RRB

DEPOSITION OF DOUGLAS L. SMITH JUNE 1, 2006

		Page 18
1		that's fair to say.
2	Q	Okay. And policy and procedures would or ought to be
3		or hopefully were in there?
4	A	Not totally encompassing. A lot of our job requires,
5		you know, thought and technical background to derive
6		answers, and not everything is written down for us.
7	Q	What does the safety coordinator position of today do
8	•	differently than the safety specialist position of
9		yesterday?
10		MS. ZOBEL: Safety specialist or
11		MR. COVELL: I'm sorry. Thank you. Thank
12	you	
13	Q	(By Mr. Covell) Safety supervisor position.
14	À	They have more hands-on assignments. They are ask
15		ocked to actually be solely responsible for, for
16		example all IIA collections. We no longer use a third
17		party as we were at the time. They've got a lot more
18		duties that are routine, nonsupervisory, non-directing
19		role
20	Q	What directing actions did the safety supervisor do?
21	À	It was within their scope of authority to redirect
22		resources For example, if we had a job that day that
23		needed extra assistance from one of their specialists,
24		they would have the authority to ask for and redirect
25		people to assist and coordinate when we had the
		1

Case 3:03-cv-00174-RRB

			Page 20
	1		happened, but, for instance, were ever in the office
	2		with Mr. Gilbert or Mr. Kirk, and you hear them on the
	3		phone saying, safety special Smith, you leave pad 19
	4		and you go to pad 20 now and perform hot work permit?
	5	Α	In the back of my mind I want to say, yes, I've heard
	6		those conversations, but I could not give you exactly
١	7		who, what, when, where at this late stage of the
۱	8	Q	And to that same type of situation, have you had a
١	9	`	safety specialist come to you and say, yesterday Mr.
١	10		Kirk called me and said I have to go from pad 19 to
	11		pad 20, and do a hot and explain that to you or
	12		complain about it or whatever they might communicate
	13		about it?
	14	Α	No, I can't recall that exact line of communication,
	15		and I don't think it would have been something I would
	16		have normally heard.
	17	Q	Okay. If this is coordinating the work, is that more
	18		of a collegial or peer coordination type of thing
	19		where the men go to work in the morning and say, okay,
	20		here are the jobs. We've got this extra job here to
	21		do today, because this is a special project or
	22		something, how are we going to divide this up, and
	23		they sort of put their input in and share and decide

		Page 19
1		abnormal conditions.
2	Q	Okay. But do you know if the safety supervisor ever
3		actually directed somebody to, say, get off that pad
4		and go to this one?
5	Α	Yes.
6	Q	And who, what, when, where, why and how? Can you tell
7		me?
8	Α	No. I had, of course, my scope of activity and the
9		supervisor had their scope.
10	Q	Right. So the only way for me to quantify how much directing
11	A	took place was to speak specialists that were employed
12		then, that are still employed now and gauge what their
13		perception was of the supervisor's positions and how
14		much direction they felt they received from that role.
15	_	
16	Q	Uh-huh. And that's how I derive my opinion that there was
17	A	quite a bit of directing or coordinating that went on
18		from that position, as I expected it to.
19	_	Okay. Well, let me
20	Q	And in my absence, it was the sole managerial position
21	A	left on the site.
22 23		Let me sort of work on the coordinating versus
24	-	directing issue here for a little bit. I mean, and
25		I'm asking for examples, I'm not suggesting this
2.3		1 10 TECHNOLOGY

		Page 21
1		here today, you go here today and you go there today?
2		If you can say.
3	Α	It was certainly not a democracy. And in my position,
4		I looked to the supervisor to be the second in
5		command, and they had authoritative capability to
6		direct work and but in these embedded employees,
7		you need to understand what they're doing that day,
8		what their priorities are before you make a decision
9		who's best available to be redirected. So even though
10		there would be conversation, it was not up for a vote
11		who wanted to go do which activity.
12	Q	Okay. Did you ever confer with Mr. Gilbert when you
13	_	were considering reclassifying the safety supervisor
14		job or eliminating it as the case may be, as to what
15		he did on a day-to-day basis?
16	Α	I don't think John and I sat down and went through any
17		specific classification question and answer of his
18		position.
19	Q	Okay. Giving examples to the extent you possibly can,
20	-	can you tell me what you understood he did on a day-
21		to-day basis?
22		Yeah. I think my understanding of his job duties was
23		to be a second tier supervisor in the department, help
24		us, you know, formulate a better department, and
1		1 limiting and avergight and growth

provide, you know, direction and oversight and growth

who can do which and what, and get it covered that

way, versus the boss man coming in and say, you go

25

24

DEPOSITION OF DOUGLAS L. SMITH JUNE 1, 2006

Page 24

JOHN GILBERT vs. APC NATCHIQ, INC. CASE NO.: 3:03-CV-00174-RRB

			1 460 2 1
	1		you used I think and then Colorado might send them
	2		back and say, here's your level, is that
	3	Α	That's correct. And based on the level,
	4		interpretating [sic] that data, we would say, we need
	5		to be in respirators or not, and provide that
	6		direction back to the field and send them on their
	7		way.
	8	Q	Okay. And when you get the is it correct that when
	9	-	you get the test result from Colorado of parts per
	10		million or whatever it is, there's some manual that
	11		dictates whether or not if the test result is in a
	12		certain range, you I don't know if you classify
	13		them as level 1 remediation, 2, 3, but whether or not
١	14		it's respirators or suits or, you know, wash downs or
	15		whatever the appropriate treatment is?
١	16	Α	Yeah, there's a lead standard from OSHA that we go by.
1	17	Q	Okay. Okay. All right. So then the coor or the
١	18	-	supervisor might look in the manual and say, okay, we
١	19		have a level 19, therefore you need to use procedure 3
١	20		kind of thing?
	21	A	In context, that's correct. Yes.
۱	22	Q	Okay. All right. Okay. And might also a safety
١	23		specialist make those same kinds of or might not
١	24		also a safety specialist do that same work vis-a-vis
١	25		looking at the sample and deciding what type of
١			

1		number of things.	
2	Q	Sure. You might have to go out and investigate an	
3	`	accident, you might have a lead meter go out somewhere	
4		I take it or	
5	Α	You may have a question on where you remove some	
6		paint, how much area do I need to pull, who's going to	ĺ
7		coordinate the sampling at the lab, and, you know, so	
8		and those functions came up quite frequently.	
9	Q	Okay. And of those duties you just described in that	
10		answer to that last question, how many of those	
11		duties, if any, are different from the duties a safety	١
12		specialist would have performed?	
13	Α	The specialist let's take lead for example, lead	١
14		paint. His job might have been to identify that we're	
15		going to do some paint removal somewhere, and it could	١
16		have lead in it. His job may be to work with someone	۱
17		to remove the paint product, and then get it into the	١
18		department for disposition and review. We John's	
19		position oftentimes, the supervisor position, would	١
20		help coordinate the disposition of that lead-potential	
21		pain to a lab, receive results, interpret those	
22		results, and determine if we had an issue with lead.	
23	Q	Okay. So the guy in the field might get the paint	
24	•	samples, bring them into John; John might mail them	
25		off to the lab in Colorado, which is one of the ones	

		Page 25
1		remediation procedure was necessary?
2	Α	Not usually, because we tended to want to have
3		oversight of those kind of exposure levels from this
4		from the supervisory positions to ensure we were in
5		compliance.
6	Q	Okay. Did specialists fill the supervisory role when
7		there was no safety supervisor on a hitch?
. 8	Α	If there was an absence from any position, the next
9		most qualified person was stepped up to that role as a
10		fill-in if we had available personnel. But when they
11		went to the new role, they assumed those duties and
12		responsibilities, and it was a temporary step up.
13	Q	Okay. And when that happened, did they get a letter
14		saying you're for these two weeks, you're
15		temporarily supervisor or not?
16	Α	Email transmission to indicate to the staff who was in
17		what position so they would know whom to call.
18	Q	Okay. Did anything go into their personnel folder in
19		that regard, if you know?
20	Α	No.
21	Q	Did they get more money?
22	Α	Not if it was temporary assignment.
23		Okay. Okay. As far as and this dovetails nicely.
24		As far as your comments regarding Mr. Gilbert filling
25		in well, okay. Let's not go there yet. Did Mr.

DEPOSITION OF DOUGLAS L. SMITH JUNE 1, 2006

JOHN GILBERT vs. APC NATCHIQ, INC. CASE NO.: 3:03-CV-00174-RRB

_					n 20 🕷	
	1 2 3 A 4 5 6 7 8 Q 9 10 A 11 12 13 14 Q 15 16 A 17 Q 18 19 20 A	Gilbert ever have the authority to hire and fire people? He never had to fire anyone, but I would say that not not by himself as nor did I as the manager. It would have taken consultation with HR and Gary Buchanan as the business unit manager to actually terminate employees. Okay. So if there's a piece of paper terminating a guy, would Gary Buchanan be the guy who signed it? He would yes, and the one termination I was involved with early on in the my tenure there, it required Gary's signature and HR approval to process it. Okay. And then is the converse true as to hiring, that Gary would sign it, and HR would That's correct. approve it? Okay. All right. So position-wise in the organizational chart, he's two steps away from Gary? That's correct.	1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21	Q A Q A Q A A	It's a matter of notification. And what kind of issues might you get a phone call about when you were on R and R? Company policy required notification up the chain of command for a lost time accident. Okay. Significant spills. Okay. That's always in our policy requirements is notification on incidents. What kind of decisions might Mr. Gilbert have made as HSE acting manager that he wouldn't have called you about, and he wouldn't have made as safety supervisor? More I think more of it's decisions that might have been discussed or progressed and staff meetings that I would normally been the primary attendee that in my stead Ron or John would have attended. We had a senior staff meeting that they would attend in my absence, and there was always progress on action items that would need to be relayed or discussed, or some degree of decisionmaking took place in my absence that	
	17 Q 18 19	approve it? Okay. All right. So position-wise in the organizational chart, he's two steps away from Gary? That's correct. Okay. When he stepped up as you put it to the HSE position that was your job, is that right? No. HSE manager.	18 19 20	Q	senior staff meeting that they would attend in my absence, and there was always progress on action items that would need to be relayed or discussed, or some degree of decisionmaking took place in my absence that normally I would have been the primary attendee to those meetings and been involved with those decisions.	
	1 4	Page 27 Because I was on a standing four and three rotation I	1		Page 29 unclear about the decisionmaking. Are you saying	9

2

		1 101
1	Α	Because I was on a standing four and three rotation I
2		was there Monday through Thursday.
3	Q	Uh-huh.
4	Α	Anytime I was absent, it was a standing order that
5		they were the next step up in point of contact for my
6		position.
7	Q	Okay. And what additional authority would he have to
8		exercise in that position?
9	Α	That position as a step-up into my absence has the
10		same authority as I have in the position with a degree
11		of consultation by phone on any significant matters.
12		But if un if unreachable, that position has the
13		full the full authority.
14	Q	And when you say consultation by phone, you mean that
15	•	Mr. Gilbert in the HSE acting HSE manager position
16		would call you and consult with you?
17	Α	Not on every decision, but if we had a significant
18		incident, we have a company policy of notification to
19		the next level, and I would always get notified of a
20		major event in my absence as I would if I was in town
120		major event may

for a meeting, I would get called. Or if I was

actually on my R and R days, I would be called, so --

And it's just a matter of company reporting policy.

It's not a matter of asking permission to proceed.

This job follows you around whether you're off or not?

	decision making within this sphere of those meetings,	B
	and the issues that are being discussed there, or do	
	you mean independent of that?	
Α	The most most frequently decisions would have had	
	to have been made to keep things progressed, like at	١
	those meetings, and also if other issues came up, I	
	can't think of one specifically, but it could have	
	been an HR-related matter, could have been someone	
	needing additional time off, shift change, problems	
	with someone's performance in the field of a given day	
	that needed to be addressed or other managerial	
	matters that I would have normally maybe been been	
	addressing.	
Q	Okay. Well, for instance, I think yesterday we heard	
	about apparently the administrative staff, Kim and	
	somebody else, seemed to be going at it as it were.	
	Is that a situation that you eventually dealt with and	

Initially it was dealt with by Ron Kirk, which John's

decision making within this sphere of those meetings,

got resolved?

alternate.

23 satisfying to the two people that had issues, so we --24 it eventually made it to me, and we took a different 25

19

20

21

Q

21

22

23

24 Α

Filed 08/04/2006

JOHN GILBERT vs. APC NATCHIQ, INC. CASE NO.: 3:03-CV-00174-RRB

DEPOSITION OF DOUGLAS L. SMITH JUNE 1, 2006

		Page 30
1		action.
2	Q	And was that letting one of them go or
3	À	Actually, no, we had a discussion of working more
4		collaboratively together and fixing some problems.
5		Eventually there was a reduction in force that
6		eliminated one of those positions, but it was not
7		associated with this performance issue.
8	Q	Okay. All right. And so as far as specific examples
9		of what a safety supervisor, or Mr. Gilbert might have
10		done as safety supervisor, outside of what we've
11		already discussed, do you have any other examples you
12		can give me?
13	Α	If I was on shift, I would normally have been the
14		facilitator on some of the safety meetings. And in my
15		absence, I expected those to continue to be held, and
16		the facilitator role of that fell to the supervisory
17		position.
18	Q	Okay. If there was a and I don't know if this
19		happened or not, and you can comment in that regard,
20		but if there was a time when you're not there, and
21		there's no safety supervisor there, then would a
22		safety specialist be the facilitator for the meeting?
23	Α	Only if they're stepped up into that supervisory role.
24	Q	Okay. When Mr. Gilbert was a safety specialist, do
25		you know if he ever was stepped up into safety
<u> </u>		

Case 3:03-cv-00174-RRB

0			Page 32
	1		and you have had safety specialists, safety
	2		supervisor, and safety coordinator is that the name
	3		of the coordinator job?
١	4	Α	The new position is called a safety coordinator.
	5	Q	Okay. What activities are co-extensive to all those
	6		positions, if any?
	7	Α	Ones that bridge all those positions?
	- 8	Q	Yeah. In other words, what jobs, what tasks do each
	9		one of those guys do that are the, you know, if you do
	10		for instance, does each one of those jobs do hot
u	11		work permits?
	12	Α	We're talking routine tasks or what tasks you may do?
	13	Q	Well yeah. Well, let's start with may. Do each
	14		one of those jobs do hot work permits?
	15	Α	Any of our positions may do a hot work permit.
	16	Q	Okay. And each one okay. And the same as to
1	17		confined space entry?
	18	Α	That's correct.
,	19	Q	Okay. The same as to walk-downs or audits?
	20	Α	That's correct.
	21	Q	The same as to PP&G revision and update?
	22	Α	No.
	23	Q	Okay. Who would or wouldn't be doing that?
	24	Α	The specialist may have input into the language of a

		Page 31
1		supervisor?
2	Α	Not during my tenure, no.
3	Q	Okay. Had he been, would he have received an email in
4		that regard?
5	Α	There should have been an email designating who was
6		assuming the role of supervisor or manager in their
7		absence.
8	Q	Okay. And who I would assume that when you're HSE
9		manager and you're gone, you're saying you didn't send
10		an email, because that was a routine thing, right?
11	Α	Between my position as manager and the supervisor
12		position, it was an on-going basis of my schedule that
13		required them to be stepped up in my absence, so there
14		was not an email, but on the vacation coverage or
15		other unscheduled coverage issues, if someone was
16		stepped up, the person departing, supervisor or
17		manager, would put out an email who was going to be
18		their step up and their points of contact.
19	Q	Okay. So it would either come from you or the safety
20		supervisor
21	Α	Yes.
22	Q	that the email?
23	Α	That's correct.
24	Q	Okay. All right. Is it right or wrong that the
25		safety well, let me just ask it this way. You have

	and final approval would come from a higher authority,
	supervisor or manager's position.
Q	Okay. Did the supervisors routinely sign off on the
	revised PP&G?
Α	There is not a particular sign-off location on any of
	those policies.
Q	If there's a new P a newer, revised PP&G, wouldn't
•	that be something that's passed by you and got your
	approval in one form or another?
A	Ultimately it would have to go to corporate for
	approval.
Q	Okay. All right. Besides those tasks I mentioned to
	my recollection and thinking, that comprises a large
	portion of what a safety specialist does, and I think
	you're telling me you don't or is that so?
Α	That's only a portion of what they do. Permitting is
	actually probably only 20 percent of their activity.
Q	Okay. So beyond that well, there's permitting and
	auditing okay. What else would you expect the
	safety specialist to be doing?
Α	From an auditing perspective, that does cover the
	field presence of going out and being visible to
	employees, looking for compliance, coaching of

employees in safe practices, just insuring that people

particular policy or procedure but final authority

over the final content and for regulatory compliance

9 (Pages 30 to 33)

Page 33